# NIH Principal Investigator Assurance Certification for Grant Applications, Progress Reports, and Prior Approval Requests

Effective May 10, 2006, National Institutes of Health (NIH) policy replaces the need for the signatures of the Principal Investigator(s) on grant applications, progress reports, and prior approval requests with a written assurance signed by the PI and retained by Colgate University (see http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-054.html).  The assurances must be available to the sponsoring agency or other authorized HHS or Federal officials upon request.

- The requirement is for a single assurance that applies to the original application, renewals, resubmissions, and revisions, as well as the associated progress reports.

- For post-award prior approvals (requests to use grant funds in ways that differ from the approved budget) Colgate must secure and retain the PI's dated signature, verifying review and approval, for the specific request.

- When multiple PIs are proposed in an application, this assurance must be retained for all named PIs.

Each PI is required to make the following certification <u>prior to submission</u> as part of Colgate's grant application approval process.  For progress reports or post-award actions, please include the NHI award number.

## CERTIFICATION

I, _____, hereby certify that the information contained in the

proposal/award titled " _____"

is true, complete, and accurate to the best of my knowledge.

I understand that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

I accept responsibility for the scientific conduct of the project and agree to provide the required progress reports if a grant is awarded as a result of the application.

_____          _____

Signature of Principal Investigator or co-PI                         Date of signature

NIH award # (for reports or post-award actions only): _____